

Program	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date	Subtotal Commitments	Uncommitted at 2/29/2016
					Solicitation Preencumb		
Commercial and Industrial							
Existing Facilities Program	151,644,121	121,506,087	13,614,490	1,173,533	-	136,294,110	15,350,011
New York Energy Smart Business Partners	21,273,791	20,725,114	-	-	-	20,725,114	548,677
Loan Fund and Financing	26,913,452	26,131,733	-	-	-	26,131,733	781,719
Energy Smart Focus	18,411,858	17,545,785	-	-	-	17,545,785	866,073
High Performance New Buildings	117,587,048	106,661,222	3,425,904	66,278	-	110,153,404	7,433,644
Technical Assistance- Flex Tech	28,644,291	24,877,495	180,854	-	-	25,058,349	3,585,942
Residential							
Single Family Home Performance Total	60,132,823	59,932,538	-	-	-	59,932,538	200,285
Multifamily Building Performance	29,275,975	28,138,673	80,981	-	-	28,219,654	1,056,321
Market Support	53,498,896	53,063,263	4,607	-	-	53,067,870	431,026
Communities and Education	9,646,611	9,545,605	-	-	-	9,545,605	101,006
R&D							
Public Benefit Power Transmission and Distribution	14,666,328	11,360,693	2,142,280	-	-	13,502,973	1,163,355
End Use Renewable Energy Market	24,858,724	23,820,952	-	-	-	23,820,952	1,037,772
Clean Energy Infrastructure	50,237,063	42,062,402	4,646,226	-	-	46,708,628	3,528,435
Distributed Energy Resources	105,609,022	82,887,796	6,687,582	-	-	89,575,378	16,033,644
Demand Response and Innovative Rate Research	4,084,134	3,524,369	179,000	-	-	3,703,369	380,765
Electric Transportation	6,000,000	5,256,438	29,354	-	-	5,285,792	714,208
Environmental Monitoring, Evaluation and Protection	25,596,067	23,722,723	378,517	-	-	24,101,240	1,494,827
Industrial and Municipal Process Efficiency	14,301,971	13,394,967	419,443	-	-	13,814,410	487,561
Next Generation and Emerging Technologies	27,083,787	23,572,714	848,905	-	-	24,421,619	2,662,168
Wholesale Renewable Energy Market	3,406,198	2,982,897	-	-	-	2,982,897	423,301
Projects Transferred from ESEERCO	-	(1,249)	-	-	-	(1,249)	1,249
Low Income							
EmPower New York	53,112,001	53,104,657	-	-	-	53,104,657	7,344
Single Family Home Performance	46,672,797	44,871,342	-	-	-	44,871,342	1,801,455
Multifamily Building Performance	115,696,279	112,073,077	666,776	70,991	-	112,810,844	2,885,435
Buying Strategies and Energy Awareness	11,229,826	11,099,875	-	-	-	11,099,875	129,951
Total Program	1,019,583,064	921,861,168	33,304,919	1,310,802	-	956,476,889	63,106,175
DPS Staff-directed activities							
General Awareness	14,975,828	10,970,382	-	-	-	10,970,382	4,005,446 *
DPS Evaluation Consultant	1,125,000	1,125,000	-	-	-	1,125,000	-
Statewide Evaluation Protocol Development (NEEP)	1,490,469	1,490,469	-	-	-	1,490,469	-
DPS Uniform Database	350,000	350,000	-	-	-	350,000	-
Total DPS Staff-directed activities	17,941,297	13,935,851	-	-	-	13,935,851	4,005,446
NYSERDA Admin, Evaluation, and Cost Recovery Fee							
Program Administration	71,709,066	71,709,066	-	-	-	71,709,066	-
NYS Cost Recovery Fee	24,086,416	23,230,059	-	-	-	23,230,059	856,357
Program Evaluation	37,489,111	31,323,160	5,059,941	-	-	36,383,101	1,106,010
Environmental Disclosure	1,876,582	155,578	1,721,004	-	-	1,876,582	-
Total Approved SBC Funding	1,172,685,536	1,062,214,882	40,085,864	1,310,802	-	1,103,611,548	69,073,988

*Reserved in accordance with 1/21/2016 CEF Order (14-M-0094)

Accumulated Interest Earnings	53,168,993
Interest allocated and reflected in Budget	(49,509,530)
Interest transferred to EEPS2 (DPS uniform database)	(42,200)
Interest to cover future CRF costs	(856,357)
Interest Earnings transfer to EEPS2	(221,963)
Available Accumulated Interest Earnings	2,538,943

EEPS1 - Program Status as of 2/29/16

Sector	Program	Budget	Expended	Encumbered	Contract Preencumbrance	Due Date Solicitation	Subtotal Commitments at 2/29/2016	Uncommitted
<u>Electric Portfolio</u>								
C&I	Agriculture - Elec	3,960,000	3,659,802	105,342	-	-	3,765,144	194,856
	Agriculture Disaster	5,000,000	4,411,464	10,324	-	-	4,421,788	578,212
	Exist Fac - Electric	35,223,462	27,309,041	2,446,950	25,725	-	29,781,716	5,441,746
	Flex Tech - Electric	18,715,623	15,479,026	479,911	-	-	15,958,937	2,756,686
	High Perf NCP - Elec	69,728,550	45,049,607	11,420,060	13,258,883	-	69,728,550	0
	Ind Process - Elec	87,843,185	62,924,036	4,610,428	-	-	67,534,463	20,308,722
	Bnchmrkg&Ops Eff-Elec	4,619,512	4,540,076	-	-	-	4,540,076	79,436
RES	ERMM Electric	2,428,235	2,200,740	-	-	-	2,200,740	227,495
	Geothermal Heat Pump	347,654	300,295	10,997	-	-	311,292	36,362
	HPWES Electric	171,484	167,562	-	-	-	167,562	3,922
	MultiFam Perf MR Elec	1,365,108	1,123,454	356	-	-	1,123,810	241,298
	NYESH Electric	337,851	250,529	-	-	-	250,529	87,322
	RES Point of Sale Elec	15,204,871	14,856,966	-	-	-	14,856,966	347,905
LI	AHPWES Electric	73,962	71,013	-	-	-	71,013	2,950
	ANYESH Electric	165,113	157,013	-	-	-	157,013	8,101
	Empower Electric	23,161,874	22,811,163	-	-	-	22,811,163	350,711
	MultiFam Perf LI Elec	1,859,834	1,803,621	76,448	20,917	-	1,900,986	(41,152)
Gen	Workforce Development	5,088,980	5,088,780	-	-	-	5,088,780	200
Electric Portfolio Total		275,295,298	212,204,186	19,160,816	13,305,524	-	244,670,526	30,624,772
<u>Gas Portfolio</u>								
C&I	Agriculture - Gas	408,358	406,294	2,064	-	-	408,358	0
	Exist Fac - Gas	2,561,211	2,561,211	-	-	-	2,561,211	(0)
	Flex Tech - Gas	1,480,935	1,432,527	48,409	-	-	1,480,935	(0)
	High Perf NCP - Gas	3,738,064	2,676,946	617,631	443,487	-	3,738,064	0
	Ind Process - Gas	12,122,961	11,430,751	692,211	-	-	12,122,961	(0)
RES	HPWES Gas	10,677,575	10,677,575	-	-	-	10,677,575	0
	MultiFam Perf MR Gas	3,097,317	3,097,317	-	-	-	3,097,317	-
	NYESH Gas	8,402,806	8,402,806	-	-	-	8,402,806	0
LI	AHPWES Gas	7,265,454	7,265,454	-	-	-	7,265,454	0
	ANYESH Gas	877,312	877,313	-	-	-	877,313	(1)
	Empower Gas	8,548,979	8,548,979	-	-	-	8,548,979	0
	MultiFam Perf LI Gas	9,616,759	8,567,704	776,126	272,929	-	9,616,759	0
Gas Portfolio Total		68,797,731	65,944,874	2,136,441	716,416	-	68,797,730	1
Program Total		344,093,029	278,149,060	21,297,256	14,021,940	-	313,468,256	30,624,773
<u>DPS Staff-directed activities</u>								
	Gen Awareness	19,635,000	9,839,664	-	-	-	9,839,664	9,795,336 *
<u>NYSERDA Admin, Evaluation, and Cost Recovery Fee</u>								
	Administration	29,624,519	29,624,518	-	-	-	29,624,518	1
	Program Evaluation	20,297,755	15,052,497	2,969,770	-	2,000,000	20,022,267	275,488
	NYS Cost Recovery Fee	9,216,084	8,385,929	-	-	-	8,385,929	830,155
Grand Total		422,866,387	341,051,667	24,267,026	14,021,940	2,000,000	381,340,634	41,525,753

*Reserved in accordance with 1/21/2016 CEF Order (14-M-0094)

Accumulated Interest Earnings	6,976,623
Interest allocated and reflected in Budget	(3,584,319)
Interest to cover future CRF costs	(786,259)
Interest earnings transfer to EEPS2	(2,606,045)
Available Accumulated Interest Earnings	-

EEPS2 - Program Funds Status as of 2/29/2016

Sector	Program	Budget	Expended	Encumbered	Contract Preencumbrance	Due Date Solicitation	Subtotal Uncommitted at Commitments 2/29/2016
Electric Portfolio							
C&I	Agriculture - Elec	17,236,000	11,806,876	4,243,042	1,054,339	-	17,104,257
	Exist Fac - Electric	156,343,218	98,159,995	23,121,634	33,021,863	-	154,303,492
	Flex Tech - Electric	44,347,216	24,768,363	12,863,712	6,585,500	-	44,217,575
	High Perf NCP - Elec	100,544,077	28,692,516	24,164,326	47,687,235	-	100,544,077
	Ind Process - Elec	142,535,400	46,978,349	69,310,895	25,934,901	-	142,224,146
	Bnchmrkg&Ops Eff-Elec	-	-	-	-	-	-
RES	ERMM Electric	4,958,016	1,218,719	544,546	-	-	1,763,265
	HPWES Electric	7,555,294	4,168,753	297,931	275,237	-	4,741,921
	MultiFam Perf MR Elec	16,745,194	4,408,840	8,904,518	1,664,676	-	14,978,034
	Low Rise NCP Electric	8,774,328	3,680,293	2,212,553	2,881,482	-	8,774,328
	RES Point of Sale Elec	11,333,731	9,519,914	210,778	-	-	9,730,692
LI	AHPWES Electric	1,673,207	1,447,017	57,238	123,414	-	1,627,668
	ANYESH Electric	698,535	684,525	2,532	-	-	687,057
	Empower Electric	49,119,638	31,813,195	217,067	3,531,914	-	35,562,176
	MultiFam Perf LI Elec	30,672,085	11,704,154	15,726,153	2,882,826	-	30,313,133
Electric Portfolio Total		592,535,939	279,051,510	161,876,926	125,643,386	-	566,571,822
Gas Portfolio							
C&I	Agriculture - Gas	1,137,328	920,618	183,908	32,802	-	1,137,328
	Exist Fac - Gas	13,844,513	5,830,328	3,200,270	4,813,915	-	13,844,513
	Flex Tech - Gas	2,581,755	1,948,793	632,963	0	-	2,581,755
	High Perf NCP - Gas	2,884,181	1,497,138	611,333	775,710	-	2,884,181
	Ind Process - Gas	34,301,115	11,655,249	19,578,907	3,066,960	-	34,301,115
RES	HPWES Gas	33,282,032	27,610,973	2,156,265	3,514,794	-	33,282,033
	MultiFam Perf MR Gas	19,155,038	7,442,069	10,839,181	873,788	-	19,155,038
	Low Rise NCP Gas	32,028,706	18,117,502	8,473,379	5,437,825	-	32,028,706
LI	AHPWES Gas	30,234,607	27,942,506	409,097	1,883,005	-	30,234,607
	ANYESH Gas	3,029,938	2,990,246	39,692	-	-	3,029,938
	Empower Gas	97,341,150	85,313,099	385,273	11,642,779	-	97,341,150
	MultiFam Perf LI Gas	54,192,050	23,919,746	27,425,973	2,846,330	-	54,192,050
Gas Portfolio Total		324,012,413	215,188,266	73,936,241	34,887,907	-	324,012,414
Program Total		916,548,352	494,239,775	235,813,167	160,531,294	-	890,584,236
DPS Staff-directed activities							
	Gen Awareness	11,999,132	438,267	15,821	51,450	-	505,539
	Outreach and Education	20,472,000	-	-	-	-	20,472,000
	DPS REV/CEF	5,000,000	4,426,025	538,711	-	-	4,964,736
	NEEP EM&V Forum	857,687	757,035	100,652	-	-	857,687
	DPS Eval Consultant	2,000,000	903,126	1,096,874	-	-	2,000,000
	DPS Uniform Database	42,200	42,200	-	-	-	42,200
	Subtotal DPS Staff-directed activities	40,371,019	6,566,653	1,752,058	51,450	-	8,370,162
NYSDERDA Admin, Evaluation, and Cost Recovery Fee							
	Administration	87,034,177	49,179,376	254,785	-	-	49,434,161
	Program Evaluation*	51,538,637	5,221,598	12,562,942	-	15,800,000	33,584,540
	NYS Cost Recovery Fee	22,267,701	12,031,538	-	-	-	12,031,538
Grand Total		1,117,759,886	567,238,941	250,382,953	160,582,744	15,800,000	994,004,637

* Evaluation budget reflects reduction of \$2,857,687 for funding NEEP EM&V Forum and DPS Eval Consultant consistent with the 10/25/2011 Order.

Accumulated Interest Earnings	1,284,849	Reallocation of DPS Staff-directed activities per 1/21/2016 Order:	
Interest transferred from SBC3 for DPS Uniform Database	42,200	Total uncommitted funds from DPS Staff-directed activities	32,000,857
Interest used for DPS Uniform Database	(42,200)	Reallocated to ongoing evaluation and technical support	(2,500,000)
Interest earnings to cover future CRF costs	(4,112,857)	Reallocated to outreach activities conducted by DPS Staff	(3,000,000)
Interest earnings transfer from EEPS1	2,606,045	Reserved in accordance with 1/21/2016 CEF Order (14-M-0094)	26,500,857
Interest earnings transfer from SBC	221,963		
Available Accumulated Interest Earnings	<u>(0)</u>		

SBC4 - Status as of 2/29/16

Program	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date Solicitation Preencumb	Subtotal Commitments	Uncommitted at 2/29/2016
Power Supply & Delivery							
Advanced Clean Power	51,471,962	12,534,539	12,313,326	6,548,479	-	31,396,344	20,075,618
Smart Grid & EV Infrastructure	61,281,382	10,794,178	15,840,326	4,760,452	2,495,606	33,890,562	27,390,820
Building Systems							
Advanced Buildings	75,336,160	11,368,882	15,247,726	11,276,967	10,500,000	48,393,575	26,942,585
Advanced Energy Codes & Standards	16,679,794	2,401,915	6,010,204	773,844	600,000	9,785,963	6,893,831
Clean Energy Infrastructure							
Clean Energy Business Development	41,761,046	13,690,144	11,185,460	411,650	-	25,287,254	16,473,792
Market Development	70,380,281	36,121,109	2,508,524	3,643,411	1,982,698	44,255,742	26,124,539
Env Monitoring Evaluation & Protection	18,850,048	5,312,338	7,122,932	493,310	3,500,000	16,428,580	2,421,468
Combined Heat & Power							
Combined Heat & Power	75,000,000	5,951,101	26,216,079	13,888,174	-	46,055,354	28,944,646
Workforce Development							
Renewable Energy	15,000,000	3,775,014	1,518,497	299,972	250,000	5,843,483	9,156,517
Energy Efficiency	24,000,000	5,946,045	3,799,394	356,773	-	10,102,212	13,897,788
Program Subtotal	<u>449,760,673</u>	<u>107,895,265</u>	<u>101,762,468</u>	<u>42,453,032</u>	<u>19,328,304</u>	<u>271,439,069</u>	<u>178,321,604</u>
Administration	39,765,533	31,003,546	16,650	-	-	31,020,196	8,745,337
Program Evaluation	26,363,455	4,301,433	5,310,589	-	13,000,000	22,612,022	3,751,433
NYS Cost Recovery Fee	7,585,946	3,077,560	-	-	-	3,077,560	4,508,386
Grand Total	<u><u>523,475,607</u></u>	<u><u>146,277,804</u></u>	<u><u>107,089,707</u></u>	<u><u>42,453,032</u></u>	<u><u>32,328,304</u></u>	<u><u>328,148,847</u></u>	<u><u>195,326,760</u></u>
Available Accumulated Interest Earnings	<u><u>4,871,421</u></u>						

RPS - Status as of 2/29/16

	Budget	LTD Invoiced	Encumbered	Contract Preencumbrance	Due Date Solicitation	Subtotal Commitments	Uncommitted at 2/29/2016
Main Tier	2,135,050,257	444,770,673	799,551,814	55,669,912	-	1,299,992,399	835,057,858
<u>Customer-Sited Tier</u>							
Open Enrollment PV	387,963,808	323,732,725	57,619,679	6,611,404	-	387,963,808	-
Fuel Cells	38,597,205	3,525,000	6,441,316	28,630,889	-	38,597,205	-
Anaerobic Digesters	55,520,102	18,871,315	17,505,227	19,143,560	-	55,520,102	-
Small Wind	14,817,338	10,636,374	2,793,023	1,387,941	-	14,817,338	-
Solar Thermal	28,443,991	11,372,322	9,948,502	7,123,167	-	28,443,991	-
Competitive PV	213,685,649	41,576,723	160,220,012	11,888,914	-	213,685,649	-
General Funding Pool	-	-	-	-	-	-	-
Customer-Sited Tier Total	739,028,093	409,714,459	254,527,759	74,785,875	-	739,028,093	-
RPS Total Program Funds	2,874,078,350	854,485,132	1,054,079,573	130,455,787	-	2,039,020,492	835,057,858
Administration - staff/overhead	49,031,339	33,621,706	93,056	-	-	33,714,762	8,418,872
QAQC-CST-Anaerobic Digesters	-	808,631	700,880	-	-	1,509,511	
QAQC-CST-Fuel Cells	-	40,305	-	-	-	40,305	
QAQC-CST-PV & Small Wind	-	4,552,505	49,540	-	-	4,602,045	
QAQC-CST-On-Site Wind	-	-	-	-	-	-	
QAQC-CST-Solar Thermal	-	143,524	77,695	-	-	221,219	
QAQC-CST-Geo Balancing	-	146,506	378,119	-	-	524,625	
Administration-consultant support	6,064,834	3,842,097	722,737	-	1,500,000	6,064,834	-
NYS Cost Recovery Fee	39,034,025	21,046,177	-	-	-	21,046,177	17,987,848
Program Evaluation	2,432,827	1,334,734	27,012	-	750,000	2,111,746	321,081
Total RPS Budget	2,970,641,375	920,021,317	1,056,128,612	130,455,787	2,250,000	2,108,855,716	861,785,659
Accumulated Interest Earnings	27,163,160						
Interest allocated and reflected in Budget	(10,404,107)						
Interest earnings to cover future CRF costs	(16,759,053)						
Accumulated Interest Earnings	<u>(0)</u>						